**Mailroom Handout for Export Control Basics and International Shipping**

**What:** Protect technology, support foreign policy and economic interests of U.S.

**Who:** Everyone employed by or studying at UCCS

**Why:** Federal requirements, Departments of State (ITAR), Commerce (EAR), Treasury (OFAC regulations)

**How:** Prevent unauthorized export of articles, technical data, or services that might be used militarily against the U.S., by checking contents and destinations

* Export control regulations may limit shipping activity, if:
	+ Research occurs overseas – shipping, international travel, sending information via any electronic means may also be an export
	+ Research or business partner (institution or person) on restricted party list
	+ Working with military technology and partners from sanctioned countries
* Potential consequences: both personal liability and institutional risk, criminal and civil penalties that may be greater than $1M for each violation, and prison
	+ The University of Massachusetts at Lowell was fined $100,000 for unlicensed export of atmospheric sensing equipment to Pakistan’s Space and Upper Atmosphere Research Commission (“SUPARCO”). The fine will be waived if the university does not commit any more export violations during a probationary period of two years.

Notably, the exports in this case were not controlled items--all were classified as [EAR99](http://www.bis.doc.gov/licensing/do_i_needaneccn.html#qtwo), meaning they could be shipped without a license to most destinations in most circumstances--but the recipient of the items, SUPARCO, is on the Bureau of Industry and Security's [Entity List](http://www.bis.doc.gov/entities/) of people and organizations subject to special license requirements.

* + Loss of authorization to receive federal funding

Please contract Mike Sanderson in the Office of Sponsored Programs and Research Integrity at exportcontrol@uccs.edu early in the shipping process to minimize any export control compliance burden. To learn more about export controls please visit- <http://www.uccs.edu/osp/export-controls.html>.